

Discover 20 amazing CRCMP Jobs (and what it takes to get hired)

Senior Information Security Risk Analyst

Public Company Accounting Oversight Board - ★★★★★ 10 reviews - Washington, DC
 Professional designation in CISSP, CISA, CRISC, or CRCMP preferred. The PCAOB is a nonprofit corporation established by Congress to oversee the audits of public...



Senior Manager Vendor Risk Management
 Johnson & Johnson Family of Companies ★★★★★ 3,153 reviews - New Brunswick, NJ

[Apply On Company Site](#)

- requirements:
- Stay abreast of regulatory environment regarding VRM.

Qualifications

- A minimum of a Bachelor's degree or equivalent is required.
- Compliance Certification (CRCMP) designation is preferred.
- A minimum of 6 years experience in IT compliance, finance compliance and/or payroll compliance is required.
- Experience leading & executing SOX 404 compliance programs is required.
- Prior experience with vendor risk management preferred.
- Experience working with 3rd party vendors is preferred.

www.simplyhired.com/search?q=crcmp&job=BY_s7GxAbt4KwSJ_aJA_4KaruYRQSQ



Search bar containing 'crcmp' and a 'City, State' dropdown menu.

Crcmp jobs

Sort by: Relevance, Date Added, More Filters
 Anytime, None Selected

Risk Science Business Process Lead, Senior Associate

Capital One - McLean, VA
 Est. \$110,000 - \$150,000 a year
 Lean, Six Sigma, BPM, PMP, PRM, or CRCMP. McLean 1 (19050), United States of America, McLean, Virginia...

Application Security Advisor-Penetration Tester

USAA - San Antonio, TX
 Est. \$100,000 - \$140,000 a year
 Professional designation in CISSP, CISA, CRISC, CISM, CEH, GWAPT, GWEB, or CRCMP. Purpose of Job IMPORTANT:....

IT Security Analyst I - Sheetz - Altoona, PA 3.8★

GRC Solutions Architect - Booz Allen Hamilton - McLean, VA 3.9★

Security Architect - GRC - Sheetz - Altoona, PA 3.8★

GRC Solutions Architect
 Booz Allen Hamilton - McLean, VA 3.9★
 Lead the development and integration of innovative Cybersecurity solutions and service offerings to protect federal networks and automate labor-intensive...

Experience with selecting, designing, and implementing security tools and capabilities for large, complex federal, or commercial organizations

- Experience with innovative Cybersecurity products and capabilities, including A&O, AI, and machine learning, NGFW, and Big Data
- Possession of excellent oral and written communication skills
- Certification preferred, such as CISSP, GRCP, CAP or CRCMP certification

Ethics & Compliance Controlling Manager - North America

Nike ★★★★★ 10,591 reviews
 Beaverton, OR
 Full-time
 You must create an Indeed account before continuing to the company website to apply

[Apply on company site](#)

- Job Company
- A minimum of 5+ years of experience in risk management, accounting, auditing or related functions
 - Experience within a large, multi-national company (Fortune 500 and CRCMP, CFE or other certification preferred)
 - Solid understanding of the technical aspects of the Foreign Corrupt Practices Act, as well as local Anti-Bribery Anti-Corruption laws and regulations
 - In-depth understanding of crafting and implementing controls, while also possessing a strong documentation skill
 - Understanding of internal auditing standards, COSO Internal Control Framework, and

Senior Audit Specialist, Global Risk & Assurance
 SAP ★★★★★ 2,625 reviews
 Newtown Square, PA 19073
 Full-time
 You must create an Indeed account before continuing to the company website to apply

[Apply on company site](#)

Valued Certifications & Training:

- Risk Management Professional (RMP)
- Certified Risk and Compliance Management Professional (CRCMP)
- Financial Risk Manager (FRM)
- Chartered Accountant (CA)
- Certified Public Accountant (CPA)
- Certified Internal Auditor (CIA)
- Certified Information Systems Auditor (CISA)
- Project Management Professional (PMP)

We are SAP

Today · More...

CB and CIB FCRM Leader-Independent Testing Director
 Wells Fargo 3.7★
 Charlotte, NC
 Full-time

Wells Fargo is seeking a Commercial Bank (CB) and Corporate Investment Bank (CIB) Financial Crimes Risk Management Leader-Independent Testing Director.

21 days ago · More...

Lead Independent Testing Officer-Commercial Banking Independ...
 WELLS FARGO BANK 3.7★

CB and CIB FCRM Leader-Independent Testing Director
 Wells Fargo ★★★★★ 42,186 reviews
 Charlotte, NC
 Full-time
 You must create an Indeed account before continuing to the company website to apply

[Apply on company site](#)

Continued in Wells Fargo's vision, values & goals

- Advanced Degree preferred (Masters, MBA, or JD)
- Experience in Audit, Compliance, Risk and Testing
- Critical Thinking and Analytical skills
- Certification in one or more of the following: CAMS, CFCS, CISA, CIA, CPA, CRCM, CRCMP, CRP

Pay Range:
 173,300.00 - 359,900.00 USD Annual

May be considered for a discretionary bonus, Restricted Share Rights or other long-term incentive awards.

LinkedIn search results for "crcmp" in United States. The search filters are: Jobs, Date Posted, Experience Level, Company, Job Type, and On-site/Remote. The results show 58 results for "Crcmp in United States".

Ethics & Compliance Controlling Manager – North America
 Nike
 Beaverton, OR
 Actively recruiting
 3 days ago · 9 applicants

Senior Compliance Project Manager
 Dice
 United States (Remote)
 1 week ago · 8 applicants

Ethics & Compliance Controlling...
 Nike · Beaverton, OR
 Accounting, Finance, legal or related field

- A minimum of 5+ years of experience in risk management, accounting, auditing or related functions
- Experience within a large, multi-national company and CRCMP, CFE or other certification preferred)
- Solid understanding of the technical aspects of the Corrupt Practices Act, as well as local Anti-Bribery laws and regulations
- In-depth understanding of crafting and implementing policy while also possessing a strong documentation skill set
- Understanding of internal auditing standards, COBIT, Control Framework, and risk assessment practices
- Experience in planning, implementing and reporting assessment results

Compliance and Quality Improvement Specialist

The University of North Carolina Greensboro ★★★★★ 275 reviews
 Greensboro, NC 27402
 Full-time

You must create an Indeed account before continuing to the company website to apply

[Apply on company site](#)

Additional Required Certifications, Licensures, and Certificates

Preferred Qualifications

- Certified Risk and Compliance Management Professional (CRCMP)
- Knowledge of relevant laws, legal codes, procedures, and other relevant regulatory standards
- Experience in the direct provision of oversight of children's mental health services
- Ability to work cooperatively by demonstrating professional, ethical and respectful behavior

Audit Manager – Consumer Compliance

KeyBank [KeyBank](#) ★★★★★ 2,256 reviews

Remote

\$100,000 - \$125,000 a year - Full-time

You must create an Indeed account before continuing to the company website to apply

[Apply on company site](#)

RELEVANT CERTIFICATIONS

- Certified Regulatory Compliance Manager (CRCM)
- Certified Risk Certification (CRC)
- Certified Risk Professional (CRP)
- Certified Risk and Compliance Management Professional (CRCMP)



Info Security Advisor I - 100% REMOTE Opportunity

USAA - 3.9★

Plano, TX

[Apply Now](#)

Possess (in good standing) security-related professional designation(s) (e.g., CISSP, CISA, CRISC, CISM, CRCMP, etc.)

The above description reflects the details considered necessary to describe the principal functions of the job and should not be construed as a detailed description of all the work requirements that may be performed in the job.

Director - PCI Compliance

Las Vegas Sands Corp. ★★★★★ 74 reviews - Las Vegas, NV 89109

[Apply On Company Site](#)

- MBA or Master's Degree in MIS, Computer Engineering or Cyber Security AND/OR professional designations in CISSP, CISA, CRISC, or CRCMP preferred.
- Must be able to obtain and maintain a Nevada Gaming Control Board Registration any certification or license, as required by law or policy.
- 10 years of work experience in Information Technology or related discipline.
- 6 years of work experience in leading within a matrixed corporate environment.
- Advanced knowledge in risk, control, budgets, process and loss costing.
- 8 years of facilitating risk assessment sessions with all levels of management and executive management.
- 10 years of working risk assessments with all levels of management preferred.

SimplyHired

simplyhired.com/salaries-k-crcmp-jobs.html

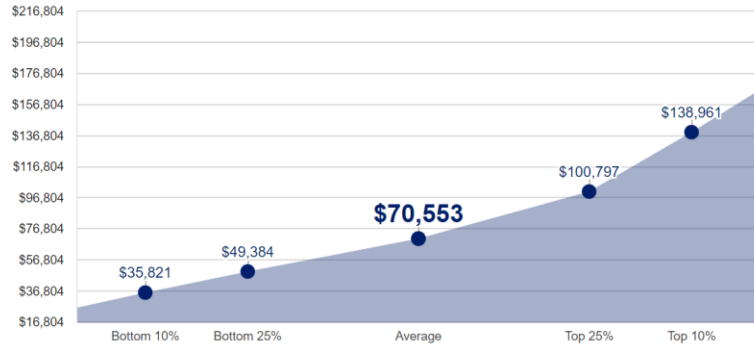
SimplyHired. Job Title, Skills or Company City, State, ZIP or "Remote" Search Jobs

< Back to Salaries

crcmp Salaries

\$70,553 avg per year

The average salary for crcmp jobs is \$70,553.*



simplyhired.com/salaries-k-crcmp-jobs.html

Top crcmp jobs

View All

- Model Risk Program Lead**
American AgCredit
Remote
Medical, Dental and Vision coverage. Outstanding 401k – automatic 3% employer contribution, plus match up to 6%.
1 day ago
- Government Compliance Specialist**
Granite Telecommunications
Quincy, MA
The Government Proposal Support Specialist position is an integral part of that environment where practicality, communication and collegiality are necessary...
Estimated: \$51,000 - \$68,000 a year
- Compliance and Quality Improvement Specialist**
The University of North Carolina
Greensboro
Greensboro, NC
Position Eclass EP - EHRA 12 mo leave earning. The Center for Youth, Family, and Community Partnerships (CYFCP) at the University of North Carolina at...
Estimated: \$45,000 - \$63,000 a year
5 days ago
- Bank Compliance Officer**
First Command Financial Services, Inc.
Fort Worth, TX
The Bank Compliance Officer will be principally responsible for the development and implementation of First Command Bank's (FCB's) general compliance program.
- IT Compliance Specialist**
Norfolk Southern Corp
Atlanta, GA
We are looking for an IT Compliance Specialist that will work with the team to ensure that our IT operations comply with legal regulatory standards, procedures,...
- IT Security Analyst II**
Sheetz
Altoona, PA
The IT Security Analyst II will design, implement, and enforce security policies that protect systems and data from security risks.

You may visit: <https://www.simplyhired.com/salaries-k-crcmp-jobs.html>

Indeed

The screenshot shows the Indeed job search interface. At the top, there are navigation links for 'Find jobs', 'Company reviews', and 'Find salaries', along with 'Upload your resume' and 'Sign in'. The search bar contains 'What Crcmp' and 'Where Multiple'. Below the search bar are several filter buttons: 'Date Posted', 'Remote', 'Salary Estimate', 'Job Type', 'Encouraged to Apply', 'Location', 'Company', 'Experience Level', and 'Education'. A 'Find jobs' button is on the right. Below the filters, there is a section for 'Upload your resume - Let employers find you' and a job listing for 'Government Compliance Specialist' at Granite Telecommunications in Quincy, MA. The job listing includes a '46 jobs' indicator and an 'Apply on company site' button.

Audit & Compliance Analyst

Cognosante, LLC ★★★★★ 416 reviews

Washington, DC

Full-time

You must create an Indeed account before continuing to the company website to apply

[Apply on company site](#)



Job Company

Candidates that do not meet the required qualifications will not be considered.
PREFERRED

- Certified Risk and Compliance Management Professional (CRCMP) designation.
- Prior experience with DHS.
- Prior experience with large federal financial system integration.

The screenshot shows the job details page for 'Audit & Compliance Analyst' at Cognosante, LLC. The page includes the company name, location (Washington, DC), and job type (Full-time). It also features a '416 reviews' rating and a note that an Indeed account is required to apply. A blue 'Apply on company site' button and a heart icon are visible. Below the job title, there is a section for 'Job Company' and a note that candidates not meeting qualifications will not be considered. A list of preferred qualifications is provided, including CRCMP designation, DHS experience, and federal financial system integration experience.

Model Risk Program Lead

American AgCredit ★★★★★ 28 reviews

Remote

Remote

From \$106,053 a year - Full-time

You must create an Indeed account before continuing to the company website to apply

[Apply on company site](#)



- Designation not required but preferred: Professional Risk Managers International Association (PRM); Certified Risk & Compliance Management Professional (CRCMP); Associate in Risk Management (ARM or ARM-E); Financial Risk Manager (FRM).

You may visit: <https://www.indeed.com/jobs?q=crcmp&l=Multiple>



The Certified Risk and Compliance Management Professional (CRCMP) program

The CRCMP has become one of the most recognized certificates in risk management and compliance. There are CRCMPs in 57 countries. Companies and organizations around the world consider the CRCMP a preferred certificate.

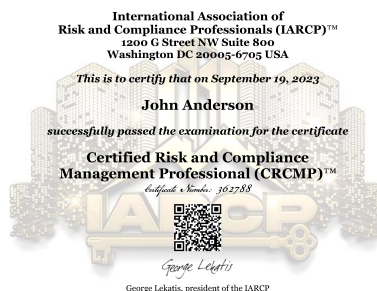
The CRCMP program has been designed to provide with the knowledge and skills needed to understand and support regulatory compliance and enterprise wide risk management. The course provides with the skills needed to pass the Certified Risk and Compliance Management Professional (CRCMP) exam.

The CRCMP program is beneficial to:

- Managers and employees involved in the design and implementation of risk and compliance related strategies, policies, procedures, risk assessments, control activities, testing, documentation, monitoring and reporting.
- Vendors, suppliers, and service providers.

This course is intended for employers demanding qualified professionals that meet the fit and proper requirements.

You may visit: <https://www.risk-compliance-association.com/Distance Learning and Certification.htm>



Note: The International Association of Risk and Compliance Professionals (IARCP) is not involved in personnel recruiting. The career opportunities that follow have been posted to LinkedIn, SimplyHired and Indeed. These are examples of career paths where the CRCMP is a preferred certificate. You can check for new career opportunities at LinkedIn, SimplyHired and Indeed.

Job Description 1

Title: *Senior Information Security Risk Analyst.*

Organization: *Public Company Accounting Oversight Board (PCAOB).*

Location: *Washington, DC, USA.*

The PCAOB is a nonprofit corporation established by Congress to oversee the audits of public companies in order to protect the interests of investors and further the public interest in the preparation of informative, accurate and independent audit reports. The PCAOB also oversees the audits of broker-dealers, including compliance reports filed pursuant to federal securities laws, to promote investor protection.

Job Description

The PCAOB has a full-time, regular position for a Senior Information Security Risk Analyst focusing on the areas of risk management and policy in the Office of Information Technology (OIT) at its Washington D.C. office.

You will manage and mitigate Information Security risk by identifying, evaluating, assessing, designing, monitoring, administering, reporting and implementing systems, policies and processes.

The Senior Information Security Risk Analyst provides Information Security risk insight and guides management on Information Security risk issues, and serves as advisor to peers, team members and the PCAOB staff. You will utilize your exceptional communication skills to provide leadership for your colleagues and the organization.

Responsibilities

- Provides advice, guidance and assistance to executive management focusing on Information Security risk to guide the strategic direction of PCAOB development projects, departmental initiatives and other special projects.
- Determines requirements, recommends system security configurations, and risk mitigation effectiveness.
- Provides advice and influences Information Security risk management strategies and approaches and educates risk owners on best practices.
- Identifies, analyzes and initiates changes in the Information Security policies, guidelines and standards as well as provides governance advice to the PCAOB.
- Ensures that internally developed and commercially available business applications include adequate information and security controls.

- Designs and executes the Information Security risk and control identification, evaluation, documentation, analysis and reporting processes including analytic tools.
- Conducts periodic audits of various applications and systems to ensure information security processes and procedures are effective.
- Assists with the investigation, documentation, and response to all suspected information security events.
- Ensures process owners identify, develop and test Information Security controls for risk mitigation effectiveness.
- Works closely with external IT auditors and internal teams on managing and supporting IT audits.
- Participates in designing, communicating, and maintaining IT security program policies and procedures.
- Serves as a mentor to peers and team members for assigned area of responsibility. Guides team members in the development and delivery of their work.
- Other duties as assigned.

Qualifications

- Bachelor's degree in MIS, Computer Engineering, Cyber Security, IT or related disciplines OR 4 years of additional work experience in IT, Information Security, Cyber Security preferred.
- 6+ years Information Security experience within Information Technology.
- 6+ years hands-on experience conducting risk assessment sessions with all levels of management and executive management.
- In-depth knowledge and experience in relevant industry data sources, standards, data analysis tools and techniques.
- Demonstrable expertise and knowledge of risk, control, budgets, process and loss costing.
- Strong knowledge of risk monetization and costing.
- An understanding of multiple, industry-standard Information Security frameworks.
- Strong knowledge in relevant industry data sources, standards, data analysis tools and techniques.
- Experience collaborating with and influencing multiple stakeholders to solve a complex

problem.

- Excellent written, oral, presentation, facilitation, negotiation and communication skills.
- Professional designation in CISSP, CISA, CRISC, or [CRCMP](#) preferred.

Our Values

The PCAOB encourages a spirit of cooperation and teamwork, and fosters an environment of professional growth where employees can exercise their leadership ability, creativity, technical competence, and public interest focus in helping the PCAOB fulfill its mission.

Equal Employment Opportunity

All PCAOB employees are entitled to equal opportunity and a professional work environment, free of discrimination and harassment. A workplace free of discrimination is fundamental to professional success and to the PCAOB's mission. The PCAOB will consider for employment all qualified applicants with criminal histories in a manner consistent with applicable law.

Job Description 2

Title: *GRC Solutions Architect with Security Clearance*

Organization: *Booz Allen Hamilton*

Location: Fairfax, Virginia, USA

Booz Allen Hamilton Inc. (informally Booz Allen) is a management and information technology consulting firm headquartered in McLean, VA.

The company's core business is to provide management and technology consulting and engineering services to leading Fortune 500 corporations, governments, and not-for-profits around the world in industries ranging from defense to health and from energy to international development.

Career opportunity areas include commercial, consulting, data science, engineering, cyber, intelligence and more.

Booz Allen is a purpose-driven and a values-based company, where you can expect a consultative team environment, consistent leadership philosophy, competitive compensation and total rewards, and to be part of a strong brand with a 100-year-old legacy.

Key Role:

- Join a dynamic team of thought leaders and experts supporting a portfolio of

Cybersecurity programs to defend the nation against advanced threats and adversaries.

- Lead the development and integration of innovative Cybersecurity solutions and service offerings to protect federal networks and automate labor-intensive security processes and activities.
- Perform research and interface with subject matter experts on emerging and leading Cybersecurity technologies and domains, including IoT and Cloud Security, Automation and Orchestration (A&O), identify and access management, and artificial intelligence (AI), and machine learning.
- Work with capture and delivery teams to engineer and integrate new concepts, technical solutions, and approaches to solve complex Cybersecurity problems.
- Work with Cyber threat intelligence teams to assess the latest threat actor techniques and tactics and design and develop state-of-the-art security controls and countermeasures.
- Coordinate with senior clients regularly on Cybersecurity challenges and shape opportunities to implement solutions and service offerings leveraging investments and proven capabilities.
- Develop and manage relationships with strategic Cybersecurity product vendors and identify and execute partnering and go-to-market strategies.

Basic Qualifications:

- 5+ years of experience with leading technical project delivery for large, complex organizations
- 3+ years of experience architecting or deploying solutions using Xacta, ServiceNow, or GRC tools
- Experience with architecting, designing, and implementing Cybersecurity systems, solutions, and tools in federal organizations
- Experience with leading Cybersecurity research, development (R&D), and product selection initiatives in areas, including A&O, AI, analytics, secure DevOps, identify and access management, or automated A&A
- Ability to collaborate with project and proposal teams effectively to incorporate Cybersecurity solutions and develop high-quality implementation plans and roadmaps
- Ability to work with senior leaders and executives to foster positive client relationships
- Ability to author technical documentation and whitepapers and blogs on leading Cybersecurity topics and concepts

- Ability to obtain a security clearance
- BA or BS degree

Additional Qualifications:

- Experience in a cybersecurity compliance, operations, or engineering leadership role
- Experience with selecting, designing, and implementing security tools and capabilities for large, complex federal, or commercial organizations
- Experience with innovative Cybersecurity products and capabilities, including A&O, AI, and machine learning, NGFW, and Big Data
- Possession of excellent oral and written communication skills
- Certification preferred, such as CISSP, GRCP, CAP or [CRCMP](#) certification

Clearance:

Applicants selected maybe subject to a security investigation and may need to meet eligibility requirements for a security clearance.

We're an EOE that empowers our people-no matter their race, color, religion, sex, gender identity, sexual orientation, national origin, disability, veteran status, or other protected characteristic-to fearlessly drive change.

Job Description 3

Title: *Senior Manager, Vendor Risk Management.*

Organization: *Johnson & Johnson Family of Companies.*

Location: New Brunswick, NJ, USA.

Johnson & Johnson is recruiting for a Senior Manager Vendor Risk Management to be based in New Brunswick, NJ, Tampa, FL, or within proximity of a J&J site in Europe, Middle East, or Africa.

Caring for the world, one person at a time, inspires and unites the people of Johnson & Johnson. We embrace research and science – bringing innovative ideas, products and services to advance the health and well-being of people.

Employees of the Johnson & Johnson Family of Companies work with partners in health care to touch the lives of over a billion people every day, throughout the world.

We have more than 275 operating companies in more than 60 countries, with more than 128,700 employees. Our worldwide headquarters is located in New Brunswick, New Jersey, USA.

Johnson & Johnson Global Services, the global shared services organization supporting the businesses of Johnson & Johnson, performs select functional work in a consistent manner across regions and sectors using simplified, standardized end-to-end processes and state-of-the-art technology.

The vision of Johnson & Johnson Global Services is “to be trusted business partners who deliver increasing value by creating and sustaining globally standard world-class services that enable the power of Johnson & Johnson.”

There are more than 2,000 employees in Human Resources, Finance and Procurement who work for Johnson & Johnson Global Services in key service centers located in Manila, Suzhou, Prague, Bogota and Tampa, as well as in local country-based hubs.

The Senior Manager, Vendor Risk Management (VRM) is responsible for supporting the activities related to vendor risk management of Payroll Providers globally.

The goal being to ensure business owners consider, understand, and monitor their respective vendor’s organizational strategic fit, risk management controls, current financial conditions and potential changes, as well as, ensure adherence to contractual requirements, delivery of agreed upon performance levels, compliance with regulatory requirements.

The Vendor Risk Manager must also identify and communicate to business the associated risks of vendor provided processes, controls and services in support of critical operations.

Job Responsibilities:

- Support the VRM Compliance Framework to effectively manage vendor risk in accordance with internal policy and regulatory requirements, ensure strong oversight of all risks for Payroll Vendor’s hosted externally and provide visibility of existing and emerging risks.
- Identify, categorize and evaluate “Core Processes” and “Non-core Processes”, using a “Risk-Based Standard”, for potential or current service providers; delivering to the Payroll Leadership a risk-based ranking of business-critical processes and/or services which are provided and/or delivered by an independent third party.
- Based upon Risk Classification, complete analysis of risk factors for Payroll Vendors (including any subcontractors with access to customer information) and ensure the respective business owners are monitoring, reviewing, and mitigating risk associated with service providers using the following risk factors: Regulatory Compliance, Legal, Transactional, Financial Stability, Market Reputation, Operational, Business Continuity/Disaster Recovery, and Information Security.
- Lead and complete risk analysis for onsite assessments, with the assistance of the business,

ensuring consistent execution.

- Working with the appropriate business users, ensure that for any identified risk that require mitigating action, including vendor disengagement, a plan is developed and executed that indicates the process and/or service involved, the outgoing vendor, the replacement vendor, the anticipated timeline, measurable milestones, expected completion date and the plan for contingencies should an orderly transition not occur.
- Monitor compliance with VRM Policy and General Procedures in dealings with vendors for the supply of services to Johnson & Johnson.
- Maintain established relationships with the Business and applicable stakeholders to ensure proper execution and compliance with VRM policies and procedures.
- Act as a subject matter expert to assist the business in identifying and mitigating risks on their vendor relationships.
- Assist in the reporting of vendor risk management activities.
- Ensure all vendor relationships are documented in the VRM system and all contracts related to vendors that provide outsourced services are uploaded in the system.
- Promote and deliver continuous training and awareness to Business partners on vendor risk.
- Liaison with internal and external auditors, as well as, J&J IT compliance to oversee audit activities, inquires, segregation of duty tasks and 3rd Party Vendor compliance requirements.

Qualifications

- A minimum of a Bachelor's degree or equivalent is required.
- Compliance Certification ([CRCMP](#)) designation is preferred.
- A minimum of 6 years experience in IT compliance, finance compliance and/or payroll compliance is required.
- Experience leading & executing SOX 404 compliance programs is required.
- Prior experience with vendor risk management preferred.
- Experience working with 3rd party vendors is preferred.
- Global experience and the ability to excel in a team environment using conflict resolution; problem solving, strong leadership, modeling and facilitation skills is required.
- Experience within a large multinational company is preferred.

- Proven ability to collaborate with other departments, building relationships and gaining commitment and resources for payroll-led initiatives required.
- Strong ability to think critically and make effective decisions in high-pressure environment required.
- Ability to interact with employees at all levels and possess excellent business writing and verbal communication skills required.
- A sense of urgency in solving audit inquiries and requests to ensure timely resolution and an ability to work effectively under pressure required.
- Comfortable handling ambiguity and fluid situations, demonstrating a pragmatic approach required.
- Strong interpersonal skills and the ability to interact skillfully and confidently with internal stakeholders and colleagues to develop ideas, identify opportunities, and influence outcomes required.
- Attention to detail required.
- Strong ability to lead, manage, mentor, and delegate as appropriate by disseminating knowledge and techniques required.
- Experience with various Payroll applications globally is preferred.

This position will require up to 25% domestic and/or international travel.

This position can be located in New Brunswick, NJ, Tampa, FL, or within proximity of a J&J site in Europe, Middle East, or Africa.

Job Description 4

Title: *Head of Governance, Risk & Compliance Office.*

Organization: *SAP.*

SAP is the global market leader for business software and thus contributes a considerable part of the world's economic power grid.

At SAP you get your chance to put your ideas into action with maximum impact.

Provide high quality services to the business which enable that risks are properly managed, security measures are established, and SOX compliance is secured.

Increase the added business value of governance, risk, and compliance processes at SAP through high-quality business services, without compromising on transparency and compliance.

Implement global risk and security management and SOX strategies, and develop regional procedures to ensure business integration.

Continuously work on improving the risk and security management practice and SOX compliance at SAP as part of the Global GRC management team.

Maintain information and communication flows to regional executive management on GRC related topics.

Build and maintain strong partnerships with key internal decision makers, and with external risk and security management and SOX practitioners.

Liaison with other regions and information sharing.

Be a trusted advisor to regional management and employees on GRC matters.

Manage a regional GRC function by integrating corporate risk and security management, and SOX requirements.

Develop and coach risk and security and SOX personnel, and manage performance in line with SAP's leadership competencies.

Continue improving proactive Risk Management with significant contribution towards generating consistent business value.

Establish a strong executive engagement model with the APJ senior management team.

Minimum 10 years of relevant experience including project management, business operations, and/or risk management.

Long term SAP implementation project or comparable consulting or GCO experience.

Prior assignment(s) in various lines of business at SAP is a plus.

Prior experience in risk-management related activities.

MBA or Master's degree in Business Administration, Accounting, Finance, IT, Computer Science.

Preferred to have any of: [CRCMP](#), FRM, CA/CPA, CIA, CISA, CRISC, or PMP certifications

Drive and focus on results in a complex environment (cross business units, cross countries)
Sustained high performer with proven business acumen.

Solid experience in risk, security management and SOX compliance, and a deep understanding of the associated processes, methods, and tools.

A good understanding of how [risk management, security and SOX compliance](#) is applied in the various business areas and processes.

Fluent written and spoken English is a requirement, Asian working experience an advantage.

Job Description 5

Title: Senior Audit Manager-Consumer Compliance.

Organization: American Express.

Location: New York, USA.

Execute audits related to the compliance and regulatory functions within American Express, including key regulatory requirements (AML/BSA, USA PATRIOT ACT, FCRA, Truth in Lending, Truth in Savings, Fair Lending ECOA, UDAAP, FDCPA, SCRA, and the Credit act of 2009, etc.).

Audits are integrated and consider financial, operational, compliance, and technology risks.

The candidate will:

- Support business monitoring and validation activities. Participate in and lead audit meetings with regulators, and with key leaders in AXP Compliance, Technology, and Operational Risk.
- Assess the impact of control deficiencies and inherent risks, including the evaluation of compliance requirements.
- Assist in the development of an audit plan and ensure effective and efficient execution of audits in conformance with professional and department standards, budgets, and timelines.
- Assist with validation of Key Milestones surrounding compliance and regulatory gaps.
- This includes assessment of documentation quality and sufficiency, evaluation of management's internal validation procedures, consideration of inter-dependencies, and responsiveness to findings issued by Internal Audit or regulatory comments/ MRAs.
- Effectively handle larger and more challenging workloads on successive assignments.
- Maintain internal audit competency through ongoing professional development.

- Act as a coach to assisting resources.

Qualifications

- Seven or more years experience in Banking, Internal Audit and/or Risk Management with an emphasis on Consumer Compliance.
- BA, BS, or equivalent degree in Accounting, Finance, Technology, Compliance.
- Relevant Professional Certification (CBA, CCEP, **CRCMP**, CAMS, CISA, CIA, CPA, MBA, or equivalent).
- Relevant Banking industry experience, including the management of operational or compliance functions.
- Programming knowledge. Ability to create data queries and audit retrievals. Understanding of business technologies and its impact to control practices.
- Ability to effectively integrate business, operational, technological, financial, compliance and regulatory components in audit work.
- Ability to identify problems and recommend solutions to complex control, financial, technical, compliance or regulatory issues.
- Strong written and verbal communication skills to deliver high quality, actionable feedback on a variety of complex issues to executive and line management on control issues and potential solutions to close control gaps.
- Ability to work independently within a team construct, while supporting teamwork and achievement of team objectives.

Minimal travel may be required.

Job Description 6

Title: *Security Administrator*.

Organization: *IBM*.

Location: Canada.

The candidate will maneuver a Security Compliance landscape where daily deliverables are completed successfully, and ongoing project initiatives are kept in motion requiring interaction across several teams/departments while keeping management informed of status and potential unmitigated risk.

Successful candidates will perform the following:

- Ensure audit readiness by being familiar with Company and Customer(s) standard guidelines and security self-assessment workbooks with addition of monthly reporting in key areas.
- Maintain security policies, processes and tools and act as the primary focal for IBM Internal Security Compliance teams, local and global delivery teams, and management chain with respect to security issues.
- Track process handling and implementation via standardized tools/databases to ensure security compliance.
- Work with skill technicians and end-users to develop application system requirements and to determine and resolve problems throughout the related testing process by identifying potential impact and by translating business requirements into computer requirements.
- Conduct internal investigations of security breaches by leading security related audits and risk based self-assessments.
- Provide recommendations to management and customers regarding security related operations.
- Mentor personnel on security requirements and associated process/policy.
- Deliver ad hoc training on new compliancy tools and act as transitional project manager during any new security tool roll out.
- Act in audit focal point role during all IT audits and liaise between internal or external auditors and Sys admin personnel ensuring communications are kept succinct and that audit etiquette is maintained, and proper audit artefacts are presented for evaluation.
- Lead mitigation efforts for any possible audit defect/conditions/findings.

Preferred Qualifications/Certifications but not a requirement:

- Basic knowledge in Security Information and Event Management Certified in CISSP, CISA, CISM, [CRCMP \(IARCP\)](#), [CISRCP \(IARCP\)](#).
- Familiarity with Unix and Windows based operating system security.
- English: Fluent

IBM is committed to creating a diverse environment and is proud to be an equal opportunity employer.

All qualified applicants will receive consideration for employment without regard to race, color, religion, gender, gender identity or expression, sexual orientation, national origin, genetics, disability, age, or veteran status.

Job Description 7

Title: *Lead Information Security Advisor.*

Organization: *USAA.*

Location: San Antonio, TX, USA.

We are currently seeking talented Lead Information Security Advisor for our San Antonio, TX facility.

Purpose of the Job

- Develops strategies and Information Security plans.
- Provides thought leadership while managing multiple large-scale initiatives.
- Collaborates with all levels of USAA management and internal partners to assess Information Security and align to support the organization goals with Enterprise goals.
- Leads Information Security risk by identifying, evaluating, assessing, designing, monitoring, administering, reporting and implementing systems, policies and processes.
- Advises various levels of senior management on Information Security risk management issues and serves as the primary resource for cross functional team members on escalated issues of a unique nature.
- Works under minimal supervision on complex and unique work assignments and recommends appropriate solutions and problem resolution.

Job Duties

- Leads technical thought leadership to guide the strategic direction to executive management focusing on Information Security risk of USAA development projects, departmental initiatives and other special projects.
- Identifies and leads requirements and recommends system security configurations; oversees security briefings and responding to inquiries.
- Provides advanced advice and acts as an Information Security subject matter expert liaison between the company and staff agencies through formal and ad hoc inquiries.

- Provides governance and leads identifying, analyzing and initiating changes in the Information Security policies, guidelines and standards including advising company and staff agencies in support of developing and managing the Information Security awareness program.
- Gives counsel to ensure that internally developed and commercially available business applications include adequate Information Security controls.
- Consults process owners on the identification, development and testing of Information Security controls for risk mitigation effectiveness.
- Performs physical site assessments of business partners and provides peer review of work product and deliverables.
- Counsels and performs release of information analysis to third party business partners and identifies alternative methods for securing and releasing information when applicable.
- Leads the planning, design, development and execution of the Information Security risk and control identification, evaluation, documentation, analysis and reporting processes including analytic tools.
- Provides expert analysis and recommendations on Information Security risk assessment and mitigation to internal and external clients or other analysts.
- Influences Information Security risk management strategies and approaches and educates risk owners on best practices.
- Regularly advises senior management on key Information Security risk management efforts.
- Establishes strategic partnerships to anticipate, advise, and effectively communicate (written and verbal) Federal and State regulatory and business partner Information Security risk requirements.
- Coaches and mentors peers and cross functional team members to achieve business result, development, and delivery.

Minimum Requirements

- Bachelor's degree in MIS, Computer Engineering, Cyber Security, IT or related disciplines, or 4 years of additional work experience in IT, Information Security, Cyber Security or equivalent in lieu of a degree.
- 6 years leading within a matrixed corporate environment.
- Advanced knowledge risk, control, budgets, process and loss costing.

- Advanced knowledge relevant industry data sources, standards, data analysis tools and techniques.
- 8 years facilitating risk assessment sessions with all levels of management and executive management.

Preferred

- An advanced degree in MIS, Computer Engineering or Cyber Security.
- Professional designation in CISSP, CISA, CRISC, or [CRCMP](#).
- 10 years leading within a matrixed corporate environment.
- 10 years facilitating risk assessment sessions with all levels of management and executive management.
- Experience performing on site security evaluations.
- Experience with third party Information Security Oversight.

The above description reflects the details considered necessary to describe the principal functions of the job and should not be construed as a detailed description of all the work requirements that may be performed in the job.

Share our pride. Join our mission.

As a Fortune 200 financial services organization, USAA is on a mission to facilitate the financial security of our members, the men and women of the U.S. military and their families worldwide by providing a full range of financial services and products.

Headquartered on a showcase campus in San Antonio, TX, USAA attributes its longstanding success to its most valuable resource, our 26,000 employees.

They are the heart and soul of our member service culture.

Recently Ranked the #2 Place to Work in IT by Computerworld Magazine, we are recognized for the superior service we provide to our members, and the exemplary work environment we provide for our employees.

Everything that happens at USAA is based on our core values: Service, Loyalty, Honesty, and Integrity.

These are the foundations of how we do business with our members, as well as how we treat each other.

At USAA our employees enjoy one of the best benefits packages in the business, including business casual dress environment, comprehensive medical, dental and vision plans, along

with wellness and wealth building programs.

Additionally, our career path planning and continuing education will assist you with your professional goals.

USAA also offers a variety of onsite services and conveniences to help you manage your work and personal life, including seven cafeterias, two company stores and three fitness centers.

Relocation assistance is available for this position.

Qualified applicants must successfully complete a preemployment background and drug screen.

USAA is an EEO/AA Employer all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, disability, protected veteran status or other status protected by law.

Job Description 8

Title: Finance and Performance Management Systems, Risk Management, Manager.

Organization: Accenture.

Location: Negotiable.

Technology Growth Platform (TGP) offers a full range of global delivery services- including enterprise solutions, system integration, technical architectures, business intelligence, infrastructure consulting, and technology research/development.

Finance and Performance Management Risk professionals can expect to support clients to develop and deliver innovative solutions that can successfully and holistically transform a company's Finance and Risk capabilities.

You will support clients' businesses in fulfilling compliance requirements cost- efficiently, providing insights for management to confirm that the company is on target in achieving its expected return while controlling exposure to risk.

By refining and optimizing our clients' abilities to identify risks, evaluate scenarios, determine response and monitor progress, we create substantial shareholder and economic value.

Basic Qualifications:

- 6+ years experience in Financial Services - Finance or Risk.
- 3+years software configuration, design or implementation experience with one or more of

the following: SAP, Oracle, PeopleSoft, Hyperion, Cognos, NetSuite, Algorithmics, SAS, Golden Source.

- 3+ years working in a technical or consulting environment. Minimum of a Bachelor's Degree.

Preferred Qualifications:

- Demonstrated experience driving financial process improvements throughout an end-to-end process (e.g. Purchase to Pay, Record to Report).
- Exposure to finance and risk processes (e.g., AML, RAPM, market risk, credit risk, operational risk).
- Direct experience in creating business change through enabling technologies.
Professional Certifications Preferred: [CRCMP](#), CRMP, CBCO CPA, CFA, CA, MBA.

Candidates who are currently employed by a client of Accenture or an affiliated Accenture business may not be eligible for consideration.

Applicants for employment in the U.S. must possess work authorization which does not require now or in the future sponsorship by the employer for a visa.

Job Description 9

Title: *Insurance Compliance Manager - (Takaful)*

Organization: *DADJ Inc.*

Location: *New Delhi, Delhi, India*

The Insurance Compliance Manager has the responsibility for oversight of the insurance regulatory compliance activities / programs and complaint management in a fast-paced environment.

Responsibilities

- Manages insurance and consumer financial regulatory compliance activities and insurance complaint activities in a demanding environment, collaborating closely with applicable stakeholders (Operations, Technology, Risk, Executive Office of Customer Care, etc.)
- Provides guidance to the business about practical and effective solutions to regulatory compliance challenges and complaint management.
- Drives strategies and plans for continual enhancement of the Compliance Management

System, as applicable to their assigned insurance and consumer financial protection laws / regulations and / or business processes or functional areas.

- Drives the execution of the insurance complaint management process from receipt to resolution.
- Fully knowledgeable of assigned insurance and consumer financial protection laws / regulations and / or business processes or functional areas, as well as a broad understanding of the entire Compliance function and the related business functions.
- Evaluates business practices and monitors transactions to ensure that business is conducted in compliance with all applicable laws, regulations and internal policies and procedures; champions the escalation, tracking and successful and prompt closure of identified issues or concerns.
- Cutting edge knowledge of new insurance and consumer financial protection laws, rules, products, technologies, and applications, and assesses implications for assigned areas.
- Provides guidance in the development of regulatory compliance and complaint management policies and procedures.

Qualifications

The candidate should have a bachelor's degree or equivalent work experience. Advanced degrees or licenses, including an MBA, JD, and/or other certifications (e.g., [CRCMP](#), CRCP, MCM, CIA, CPA) are a plus.

The candidate must have knowledge of the key National Association of Insurance Commissioners model regulations and related state insurance regulations and some knowledge of the Consumer Financial Protection Bureau and other consumer financial protection regulations.

Experience in complaint handling; includes collaboration with stakeholders, reviewing for root cause, gathering, and assessing data for analytics and trending for distribution to management and other purposes.

The candidate should have experience developing and implementing regulatory compliance controls; also, should be able to construct and execute tests / audit plans of regulatory controls (adequacy of design and effectiveness).

The candidate should have excellent project management skills, strong writing and verbal communication skills and be able to multi-task and complete projects on time.

The candidate should be a self-starter and organized; able to work independently, without supervision; able to solve problems and offer solutions; able to influence other stakeholders and drive results.

The candidate should have strong research skills including on-line research systems.

The candidate should have strong / advanced analytical skills and proficient in the use of Microsoft Word, Excel, PowerPoint, Outlook, Power BI and similar applications.

Job Description 10

Title: *Audit & Compliance Analyst with Security Clearance.*

From: *ClearanceJobs.*

Location: District of Columbia, USA.

Security Clearance required: Public Trust Cognosante is on a mission to transform our country's healthcare and safety systems. With our health and security-focused solutions, we help public sector organizations achieve the important task of providing the best possible public services to American Citizens.

From Enterprise IT, Data Science, and Security Services, to full-scale Consumer Engagement and Interoperability solutions, we are moving government services forward with transformation and innovation.

Learn how we are making a difference in people's lives today! The Audit & Compliance Analyst will be supporting the Department of Homeland Security (DHS), Office of Chief Financial Officer (OCFO), Financial Systems Modernization (FSM), Joint Program Management Office (JPMO), in their efforts to transition all DHS headquarters (HQ) and Components to standard business processes and ensuring compliance to federal regulations.

RESPONSIBILITIES

Individual will be responsible for the comprehension and application of USSGL (U. S. Standard General Ledger), and related Sections and Schedules.

Ascertain current procedures and practices are in compliance with USSGL and other pertinent regulations and current with USSGL regulatory changes.

Responsible for understanding, tracking utilization and information correction of Compliance Programs.

Support and assist with responses, through appropriate designated channels / individuals, to auditor or regulator inquiries and questions.

Follow proper reporting channels for compliance issues and evaluate if they have been correctly adhered to.

Will plan, implement and evaluate risk as it relates to DHS compliance programs.

Will identify and recommend improvements to internal control weaknesses.

Will consult, advise, and recommend resolution to compliance issues.

Will perform select 'PBC' (Prepared by Client) items in advance of audits.

Will coordinate with JPMO Federal and Contractor personnel in confidential matters involving audit preparation and issue correction/mitigation.

REQUIREMENTS

US Citizen able to pass a government background check.

At least 8 years of experience in compliance and audit preparation.

At least 8 years of working experience in Finance and Accounting.

Bachelor's degree in Business, Finance, Accounting, or a related field.

Knowledge and experience working with the U.S. Standard General Ledger (USSGL).

Experience in building, evaluating and maintaining compliance programs.

Knowledgeable of current USSGL regulatory requirements and able to interpret and implement the regulations.

Able to prepare materials, schedule and run meetings at the client level Candidates that do not meet the required qualifications will not be considered.

PREFERRED [Certified Risk and Compliance Management Professional \(CRCMP\)](#) designation.

Prior experience with DHS.

Prior experience with large federal financial system integration.

Cognosante is an Equal Opportunity/Affirmative Action employer. All qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, disability, or veteran status, or any other protected class.

Job Description 11

Title: *Model Risk Program Lead.*

Organization: *American AgCredit.*

Location: United States (Remote)

American AgCredit offers a unique opportunity to be a part of a national financial system supporting those who feed, clothe and fuel the world.

We are a growing organization embracing collaboration and innovation while delivering transformative solutions. American AgCredit provides a cultivating environment where you truly make a difference for our customers and teams.

Benefits offered by American AgCredit:

- Commitment to agriculture and the communities we serve
- Family friendly work environment
- Investment in employee development
- Medical, Dental and Vision coverage
- Outstanding 401k – automatic 3% employer contribution, plus match up to 6%
- Generous Paid Time Off (Vacation accrued at 26 days annually, Sick Days accrued at 15 days annually, 12 paid holidays, plus 16 hours of volunteer time)
- Competitive Incentive Compensation Plan
- Disability & Life Insurance
- Employee mental, physical, and financial wellness programs

BASIC FUNCTION:

The Model Risk Program Manager is responsible for the tactical development, support, and managerial oversight of the Association's Model Risk Management Program, including the validation of models performed by both internal parties and external consultants under the supervision of the Head of Enterprise Risk Management.

This second line role is responsible for the development of an enterprise-wide framework to manage model risk throughout the model lifecycle (including model development, validation, monitoring, risk assessment, governance, and controls) across all business units in accordance with industry standards and regulatory guidelines.

The role requires a sharp analytical problem solver who's adept at financial, credit, statistical, and fraud modeling.

ESSENTIAL DUTIES:

The essential functions include but are not limited to the following:

- Manage and improve model risk governance framework, policy, and procedures to ensure high standards, compliance with regulatory requirements, and in line with industry best practices.
- Lead the second-line responsibility for model inventory, model validation, model usage, model overlays, model changes, and model documentation.
- Oversee ongoing maintenance, monitoring, and validation activities of all active models.
- Manage the reporting related to model risk governance, model validation, ongoing monitoring, issue management and remediation efforts to leadership and governance committees.
- Monitor model risk processes and ensure accurate information and timely approval from stakeholders.
- Lead and direct validators to review model documentation & governance; analyze and challenge data used in model development to ensure relevance & appropriateness for model use; understand model development and validation methodologies; develop and execute model test plans; write/modify validation scripts; analyze model weaknesses; benchmark models to external vendor models; assess model risks and limitations to make model validation recommendations.
- Maintain effective relationships, communication, and lead interaction with Association and Regulatory partners, third party validators and external auditors in all areas related to model risk management.
- Provide clear and insightful analysis, feedback, and critique of models by way of written and/or oral reports to business units and senior management.
- Perform independent validations of models in use on an iterative basis and in line with Farm Credit Administration Exam Manual guidance and OCC 2011-12/SR 11-7.
- Administer model risk technology platforms/systems (internally developed and/or externally purchased) needed to support model validation and/or model risk rating/evaluation activities.
- Drive the execution of model governance activities and improve operational efficiency through process optimization and automation.
- Coordinate and facilitate Model Governance Committee meetings and agendas.

- Maintain dashboard reports, key metrics, analysis, and summary reports of models and model validation activities.
- Participate in all applicable committees as assigned as a member and/or participant to ensure knowledge relative to Model Risk Management is communicated to all levels of management.
- Negotiate contracts related to engagement of model validation vendors for professional services.
- Whenever necessary, provide needed support for all disaster recovery plans and related activities.
- Manage personnel, capital, and operating budgets for unit, and track as well as report budget variances. Responsible for controlling operating and administrative expenses.
- Conduct periodic model risk assessments and takes appropriate action(s) as required.
- Develop model risk training programs to provide the necessary education to all stakeholders and build Association-wide model risk and internal control awareness.
- Maintain up-to-date knowledge of model risk technologies, emerging regulations, risk management and compliance best practices, and industry trends.
- Run various ad-hoc analyses related to risk management and perform other duties as required.

LEVELS OF SUPERVISION EXERCISED AND RECEIVED:

Performs supervisory as well as performance management duties related to the management of the Risk Management Department; makes independent decisions; works under general direction of the Head of Enterprise Risk Management.

TYPICAL EDUCATION, EXPERIENCE & REQUIREMENTS:

- Master's Degree is required in finance, economics, statistics, or other quantitative fields, or equivalent relevant business experience.
- 4+ years of experience in model validation management.
- Expert knowledge of regulatory guidance on Model Risk Management (FCA Examination Manual, OCC 2011-12/SR11-7) and experience with regulatory interactions.
- Expertise in design and implementation of model risk management strategy, policy and procedures, model development and validation, key risk metrics, reporting, and technology solutions.

- Prior experience using SQL/Python/R/SAS is preferred.
- Extensive knowledge of statistical/financial/economic/mathematic models in the financial industry.
- Ability to communicate clearly and precisely on model-related technical and business topics.
- Excellent project management, technical, analytical, problem solving, and interpersonal skills.
- Ability to interact effectively with all levels of employees, management, external auditors, and other regulatory agencies.
- Proficient in using standard software and information technology to collect, organize, maintain, and disseminate information. Ability to use technology and data mining/analytics in new or complex situations.
- Designation not required but preferred: Professional Risk Managers International Association (PRM); [Certified Risk & Compliance Management Professional \(CRCMP\)](#); Associate in Risk Management (ARM or ARM-E); Financial Risk Manager (FRM).

JOB REQUIREMENTS:

- Must have the ability to perform basic office tasks and work in a typical office setting. Employee will be sitting for extended periods of time and accomplishing work at a desk and work at a computer for an extended period.
- Must have strong written and verbal communication skills to adequately convey ideas and work well with a team.
- Ability to talk and hear, sit, and use their hands and fingers, and reach in all directions is essential in performance of the job.
- Some lifting and moving of items up to 25 pounds required. Work during established business hours and weekend and/or evening work. Travel required.

FULL-TIME REMOTE:

These roles and job functions can be done remotely, while maintaining our strong commitment to customer service and our business goals. Employees are welcome to come to an office to work if needed, and some travel for team meetings will be required.

PAY RANGE:

Minimum - \$106,053.00 Max - \$176,755.00

This range is reflective of the national salary average for this position and will be adjusted

using geographic variance for physical location of the hired candidate. American AgCredit may compensate outside of the salary range for bona fide reasons not related to membership in a protected class.

All hiring is contingent on eligibility to work in the United States. We are unable to sponsor or transfer visas for applicants.

American AgCredit provides equal opportunity in employment to all employees and applicants. We celebrate diversity and do not discriminate on the basis of race, color, creed, religion, national origin, ancestry, alienage or citizenship status, age, sex, sexual orientation, gender identity, gender expression, marital status, genetic information, medical condition, physical or mental disability, pregnancy, childbirth or related medical condition, military service or veteran status, victims of domestic violence, or any other characteristics protected by applicable federal, state, or local laws. American AgCredit prohibits harassment of any individuals on any of the bases listed above.

Job Description 12

Title: *Compliance Specialist.*

Organization: *Semaphore Family of Companies.*

Location: Centennial, Colorado, USA.

The Compliance Specialist, tracks and records all incoming compliance projects and then undertakes to complete the project or assign the particular project to another applicable team member.

This individual utilizes strong analytical thinking skills to maintain meticulous records of each element in the compliance project from start to finish.

The Compliance Specialist enjoys completing administrative tasks and projects for clients with the highest level of client service.

Compliance Specialist Responsibilities:

- Completing and assigning compliance projects for the Company's clients with highest degree of client service.
- Working with entrepreneurial clients, many of whom are starting a business for the first time, and helping them understand the administrative details to make sure their business is set up and running according to state and federal compliance requirements.
- Consulting and collaborating with the Company's legal support partners as necessary for specific projects and advising management on any changes in compliance matters.
- Maintaining pristine records of compliance activities to be able to "show our work" if

asked by clients or governmental agencies.

- Positive communication with government agencies.
 - Preparing and filing internal compliance reports.
 - Developing and providing (or assisting in the developing and providing) of employee training on compliance policies, practices, and reporting systems.
 - Identifying, investigating, and reporting compliance issues, irregularities, and violations.
 - Tracking and promptly responding to client or government agency complaints.
- Working within and becoming an expert in the Company's CRM system.

Requirements:

- Bachelor's degree in business, finance, or a related field.
 - [Certified Risk and Compliance Management Professional \(CRCMP\)](#) or Paralegal Certificate preferred.
 - At least 2 years of experience in the field of corporate compliance.
 - Knowledge of laws, legal codes, procedures, and other relevant regulatory standards.
 - Proficiency in MS Office.
 - Strong analytical and critical thinking skills.
 - Excellent verbal and written communication skills.
- Excellent documenting and reporting skills.

Job Description 13

Title: *Independent Testing Senior Manager - Financial Crimes Risk Management.*

Organization: *Wells Fargo.*

Location: *Fort Mill, SC, USA*

At Wells Fargo, we are looking for talented people who will put our customers at the center of everything we do. We are seeking candidates who embrace diversity, equity and inclusion in a workplace where everyone feels valued and inspired. Help us build a better Wells Fargo. It all begins with outstanding talent. It all begins with you.

About This Role

Wells Fargo is seeking an Independent Testing Senior Manager in Independent Testing & Validation (IT&V) as part of Corporate Risk. Learn more about the career areas and lines of business at wellsfargojobs.com.

The Independent Testing and Validation (IT&V) team is responsible for the development and design of methodologies and standards for review activities across the Enterprise in alignment with the Risk Management Framework, and ensuring effective and appropriate testing, validation, and documentation of review activities for risk programs, risks, and controls according to standards and other applicable policies.

This role will be for a Senior Manager under Financial Crimes Risk Management (FCRM) Independent Testing Validation, reporting directly to the Head of FCRM ITV.

The Senior Manager will be responsible for overseeing, providing coverage and leading Reviews and Issue Validations across multiple Lines of Business (LOB) and be able to support the Risk and Control Self-Assessment (RCSA) efforts as part of the FCRM ITV Annual and RCSA Plan.

This role will be overseeing multiple engagements and teams at a given time, including day to day operations for those FCRM ITV members aligned to these reviews including international resources; and directly supporting the Head of FCRM ITV on other initiatives as needed.

In This Role, You Will

- Lead a high-performing team of testing and validation professionals with subject matter expertise in varying functions performed throughout CB and CIB.
- Support development and maintenance of the Annual Test Plan, and escalate any delays, at-risk or past due reviews with engagement leadership.
- Provide governance and oversight for engagement planning, execution and reporting, and own stakeholder communications.
- Oversee consultations with key front line and second line stakeholders to understand business/control environment, emerging risks, and issue trends.
- Ensure teams execute testing and validation in an effective manner with high quality, according to IT&V Standards and Procedures and other applicable policies.
- Manage allocation of people to ensure adherence to role utilization targets.
- Oversee engagement execution, issues disposition, and action plan challenge activities providing support and guidance to the engagement team.
- Provide thoughtful credible challenge internally and to lines of business, cross-

enterprise and across other corporate risk programs.

- Conduct detailed work-paper documentation reviews to ensure that quality standards are met and provide for an effective audit trail.
- Instill and maintain focus on execution quality producing deliverables within minimal rework and ownership and pride of work product output.
- Deliver ongoing updates to key business stakeholders on the status of the program and on specific reviews.
- Review and approve engagement-level reporting, communications, and oversee appropriate distribution, retention, and archival in accordance with IT&V Standards and Procedures.

Required Qualifications, US:

- 6+ years of Independent Testing, or equivalent demonstrated through one or a combination of the following: work experience, training, military experience, education
- 3+ years of Management experience

Desired Qualifications:

- 6+ years of experience in risk management (includes compliance, financial crimes, operational risk, audit, legal, credit risk, market risk, business process management) or 9+ years of financial services industry experience, of which 7+ years must include direct experience in risk management; 3+ years of management experience.
- Extensive knowledge on Financial Crimes areas including anti-money laundering (AML), Know Your Customer (KYC), Sanctions/Office of Foreign Asset Control (OFAC) and Foreign Corrupt Practices Act (FCPA).
- Extensive experience designing and executing enterprise testing and validation programs, including the development of consistent standards and testing methodologies for compliance and operational risk testing, including RCSA.
- Experience supporting large complex business processes and initiatives across diverse lines of business within a bank or financial institution, products and services.
- Understanding of law, rules and regulations regarding Financial Crimes that are integral and impacting the lines of business and products and services in scope.
- Expert-level knowledge of industry standards and best practices around monitoring, testing, assurance, and risk management processes, especially Financial Crimes related processes.
- Mature understanding of the ever-evolving governance and regulatory environments.

- Organizational courage and willingness to make tough, informed decisions and thoughtfully share independent perspectives and experiences.
- Strong analytical, critical thinking and problem-solving skills, coupled with an ability to translate ambiguous ideas/issues into well-defined plans/solutions with a track-record for delivering results.
- Effective communicator, both orally and in writing, able to ask well-crafted questions and present ideas in a clear and compelling manner, good listener
- Ability to develop and articulate sound proposals to key stakeholders, and an ability to influence and drive timely decision making.
- Proven ability to cultivate relationships and collaborate with multiple stakeholders and constituencies, including business leaders, legal, audit and multiple U.S. and non-U.S. regulators.
- Proven ability to build and lead high-performing teams, ensuring proper staffing and expertise, and manage the establishment of major policies and relevant compliance programs across major business divisions within a large and complex organization.
- Skilled in anticipating change, adjusting behavior to effectively handle rapid change, and the ability to adjust behavior to remain resilient through ambiguity or setbacks.
- Experience in leading others through change in order to proactively adapt.
- Adaptable to new methods, tools, and technology
- Ethical integrity and proven ability to serve as a role model by reinforcing and demonstrating to your employees the core values and behavioral expectations contained in Wells Fargo's Vision, Values & Goals.

One Or More Of The Following Certifications Is Desired

- Certified Anti-Money Laundering Specialist (CAMS)
- Certified Financial Crimes Specialist (CFCS)
- Certified Information Systems Auditor (CISA)
- Certified Internal Auditor (CIA)
- Certified Public Accountant (CPA)
- Certified Regulatory Compliance Manager (CRCM)
- [Certified Risk and Compliance Management Professional \(CRCMP\)](#)

- Certified Risk Professional Program (CRP)

Job Expectations:

Posting Location:

Charlotte, NC
Fort Mill, SC
Irving, TX
West Des Moines, IA
Minneapolis, MN
Tempe, AZ
St Louis, MO

Preferred location(s) listed above. Other locations within the Wells Fargo footprint may be considered for current Wells Fargo employees.

We Value Diversity

At Wells Fargo, we believe in diversity, equity and inclusion in the workplace; accordingly, we welcome applications for employment from all qualified candidates, regardless of race, color, gender, national origin, religion, age, sexual orientation, gender identity, gender expression, genetic information, individuals with disabilities, pregnancy, marital status, status as a protected veteran or any other status protected by applicable law.

Employees support our focus on building strong customer relationships balanced with a strong risk mitigating and compliance-driven culture which firmly establishes those disciplines as critical to the success of our customers and company.

They are accountable for execution of all applicable risk programs (Credit, Market, Financial Crimes, Operational, Regulatory Compliance), which includes effectively following and adhering to applicable Wells Fargo policies and procedures, appropriately fulfilling risk and compliance obligations, timely and effective escalation and remediation of issues, and making sound risk decisions.

There is emphasis on proactive monitoring, governance, risk identification and escalation, as well as making sound risk decisions commensurate with the business unit's risk appetite and all risk and compliance program requirements.

Candidates applying to job openings posted in US: All qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, disability, or status as a protected veteran.

Candidates applying to job openings posted in Canada: Applications for employment are encouraged from all qualified candidates, including women, persons with disabilities, aboriginal peoples and visible minorities. Accommodation for applicants with disabilities is available upon request in connection with the recruitment process.

Job Description 14

Title: *Compliance Officer.*

Organization: *Robert Half Finance.*

Location: New York, USA.

An exclusive financial services company is looking for an Audit Manager for their Consumer Compliance group at their NYC office.

The person in this position will be responsible for executing audits related to the compliance and regulatory functions within the company.

This includes regulatory requirements like AML/BSA, USA Patriot Act, FCRA, Truth in Lending, Truth in Savings, Fair Lending ECAO, UDAAP, FDCPA, SCRA, and the credit act of 2009.

They will support business monitoring and validation activities in addition to assessing the impact of and development of an audit plan and its effectiveness.

Job Requirements

The perfect candidate for this position will have 5+ years of previous experience in Banking, Internal Audit and/or Risk management with an emphasis in Consumer Compliance.

They will have a BA/BS in Accounting, Finance, Technology or compliance and will have a relevant professional certification (CBA, CCEP, [CRCMP](#), CAMS, CISA, CIA, CPA, MBA, or equivalent).

They will have strong written and verbal communication skills and will deliver high quality feedback on a variety of issues.

Robert Half Finance

Robert Half Finance & Accounting pioneered specialized financial recruitment and today is the worldwide leader. We specialize in placing professionals on a full-time basis in a variety of areas, including accounting, finance, bookkeeping, payroll, credit & collections, audit and taxation.

For six decades we have been matching highly skilled accounting and finance professionals with our clients' positions. Our relationship with industry-leading companies in more than 350 locations around the globe gives you unparalleled access to exciting career opportunities.

Job Description 15

Title: *Operational Risk Officer.*

Organization: *Hancock Bank.*

Location: Gulfport, Mississippi, USA.

As member of the Enterprise Risk Management unit in Corporate Risk, Operational Risk Officer is responsible for the risk identification, assessment and mitigation processes of operational risks confronting Hancock Holding Company.

Operational Risk Officer will be responsible for the implementation of Risk and Control Self-Assessment (RCSA) program for all lines of business (LOB) and corporate support units (CSU).

Essential Duties and Responsibilities include the following. Other duties may be assigned.

- Facilitates strategic RCSA process with LOB/CSU management and risk officers/liaisons and conducts risk workshops and meetings to review LOB/CSU strategic playbooks and identify/assess/mitigate identified risks.
- Assists Corporate Risk Management in the development of policy / procedures, standards, templates / forms and MIS reports/systems to support RCSA process.
- Assures integrity of data, data collection and data analysis required to support operational risk dashboard metrics, operational risk reports & analysis, conducts summary evaluation of corporate operational risks, key risk indicators, risk assessment results, key performance indicators, gap assessment of strategic playbook(s), including collection of risk-related data from external resources, and recommends mitigation actions.
- Provides subject matter expertise in Basel Operational Risk and guide LOB/CSU risk personnel to effectively implement the bank's operational risk management model.
- Executes quarterly RCSA within assigned lines of business (LOB) and corporate support units (CSU) in collaboration with the respective LOB/CSU risk officers / liaisons / coordinators and collaborate with other corporate assessment areas like Compliance, IT Risk and Audit to streamline assessments.
- Provides subject matter expertise in Basel Operational Risk and guide the business segments to effectively implement the framework.
- Trains LOB/CSU risk officers/liaisons/coordinators on RCSA process and operational risk matters.
- Ensures a comprehensive understanding of each coverage area's business objectives, environmental and control factors, and overall risk profile.

- Supports Director of Enterprise Risk Management (DERM) in operation and administration of Operational Risk Committee (OPCO) and respective sub-committees including OPCO reporting package, agenda and meeting minutes.
- Supports SRO and DERM in development and management of operational risk program to identify, evaluate and assess horizontal and vertical risks impacting all operational and line functions; set performance benchmarks, track enterprise losses, and recommend mitigation tactics to control losses from operational risk activities.
- Assists in development of action plans as needed, monitor progress of action plans identified in RCSA and escalate issues or delays as appropriate.
- Provides thought leadership to the organization on all matters pertaining to operational risk management.
- Attends ERM committee and sub-committee meetings.
- Supports SRO and DERM in reporting and responding to Federal Reserve, FDIC, and other pertinent regulatory bodies pertaining to enterprise risk management audits and related activities.
- Acts as a consultant to affiliates on matters pertaining to operational risk management.
- Represents the bank in civic, community and industry activities as required.
- Performs other related job duties and special projects as assigned.

Qualifications

To perform this job successfully, an individual must be able to perform each essential duty satisfactorily. The requirements listed below are representative of the knowledge, skill, and/or ability required.

Education and/or Experience Required:

- Bachelor's degree; at least four to six years related experience; or equivalent combination of education and experience.
- Risk analysis approaches - [Basel](#), [COSO](#), ISO, COBIT.

Preferred:

- Master's degree or equivalent and at least eight to ten years related experience.
- Mathematical Skills - Ability to work with mathematical concepts such as probability and statistical inference; Ability to apply concepts such as fractions, percentages, ratios and proportions to practical situations.

- Reasoning Ability - Ability to define problems, collect data, establish facts and draw valid conclusions; Ability to interpret an extensive variety of technical instructions in mathematical or diagram form and deal with several abstract and concrete variables.

Certificates, Licenses, Registrations

No specific requirements are needed to satisfactorily perform this job, but are preferred:

- Professional Risk Managers International Association: PRM;
- [Certified Risk & Compliance Management Professional \(CRCMP\)](#);
- Associate in Risk Management (ARM or ARM-E), Disaster Recovery Institute (DRI).

Job Description 16

Title: *Manager, Operational Risk Management.*

Organization: *ADP.*

Location: Roseland, NJ, USA

Job Responsibilities:

The Privacy & Operational Risk Team within ADP's Global Security Organization (GSO) is responsible for the design, implementation, and monitoring of ADP's global operational risk programs.

The successful candidate will be responsible for supporting the Senior Director of Global Operational Risk Management in helping lead these efforts for ADP.

The candidate must have strong skills in conducting operational, process, business, and technical analysis of problems, threats, incidents and other security related issues.

The candidate must also have the ability to communicate well, motivate and lead cross functional teams, coordinate risk, compliance, and regulatory management and operational risk remediation actions, and disseminate information as appropriate in support of ADP's critical business operations.

This position will provide overall project management and leadership support to the Senior Director of Global Operational Risk Management and will assist with the development, implementation and support of the firm's global operational risk framework, providing structured processes, tools, and assistance to business areas to capture, identify, evaluate, respond to, and report on risk issues.

Provide leadership to integrate Security Technology, Operations and Risk in creating Policy updates and training/awareness programs.

Communicate the importance of policy aspects to both executive and user communities in a technical and non-technical manner.

ESSENTIAL RESPONSIBILITIES:

- Enterprise Risk Framework Design and Implementation.
- Plan, manage, and provide ongoing support for the firm's global governance, operational risk, and compliance (GRC) framework.
- Develop risk standards and best practices documentation required to support the security, risk, and compliance programs.
- Develop a corporate threat, risk, vulnerability and risk remediation assurance and management program to identify, track, and remediate security and business operations vulnerabilities across the enterprise.
- Work with the business to design and implement operational risk and compliance assurance solutions that mitigate security threats and risks, protect the corporate infrastructure, and enable business.
- Plan, manage and conduct operational risk assessments as needed.

Qualifications Required:

- Bachelors' Degree or industry equivalent work experience in international enterprise and business risk planning and management in a converged risk & security program.
- Certifications such as PMP, CISSP, CIPP, [CISRCP](#), CISM, [CRCMP](#), CISA, with 8-12 years of applicable experience in the Risk, Compliance, Business Security or Audit field.

Job Description 17

Title: Risk Management Professionals, Multiple Openings. A variety of openings available from consultant to Senior Manager (27 positions).

Organization: Management Consulting (confidential).

We are one of the leading providers of management consulting services worldwide with more than 15,000 consultants in 49 countries.

Join our Risk Management team and you will play a central role in helping organizations achieve their strategic and derived operational, reporting and compliance goals.

Our experienced professionals and cutting-edge solutions enable organizations to cost-effectively manage risks initiatives and controls.

As a Risk Management Business Consultant, you will support clients' businesses in fulfilling compliance requirements cost-efficiently, providing insights for management to confirm that the company is on target in achieving its expected return while controlling exposure to risk.

By refining and optimizing our clients' abilities to identify risks, evaluate scenarios, determine response and monitor progress, we create substantial shareholder and economic value.

Our Risk Management group works with clients to protect and increases economic and shareholder value while fulfilling compliance requirements cost-efficiently and implementing effective risk governance and operating models.

We have the breadth of experience, global resources, superior assets and deep knowledge and insights to help the CRO create new forms of value and to help our clients become high-performance financial services businesses.

Minimum Experience Required:

- 2 years + of demonstrated knowledge of the fundamentals of Enterprise Risk Management.
- Minimum 2 years of experience creating business cases, evaluating financial performance, creating valuation models and performing market and competitive analysis.
- Bachelor's Degree in business, finance, economics or similar field
- Professional Certification Preferred: CRMP, [CRCMP](#), CPA, PMP, Six Sigma, etc. a plus.

Job Description 18

Title: *Risk Management, Senior Manager.*

Organization: *Accenture Management Consulting.*

Location: New York NY, Washington DC, Chicago IL, Reston VA, Jersey City and Florham Park NJ, Philadelphia PA, Boston MA, Atlanta GA.

Accenture is a global management consulting, technology services and outsourcing company, with approximately 211,000 people serving clients in more than 120 countries. Combining unparalleled experience, comprehensive capabilities across all industries and

business functions, and extensive research on the world's most successful companies, Accenture collaborates with clients to help them become high- performance businesses and governments.

Join the Accenture Risk Management team and you will play a central role in helping organizations achieve their strategic and derived operational, reporting and compliance goals.

Our experienced professionals and cutting-edge solutions enable organizations to cost-effectively manage risks initiatives and controls.

As a Risk Management business consultant, you will support businesses in fulfilling compliance requirements cost-efficiently, providing insights for management to confirm that the company is on target in achieving its expected return while controlling exposure to risk.

By refining and optimizing our clients' abilities to identify risks, evaluate scenarios, determine response and monitor progress, we create substantial shareholder and economic value.

We have opportunities for you to join our Accenture Management Consulting practice in Financial Services Industry, Risk Management.

Accenture's Risk Management group works with clients to protect and increase economic and shareholder value while fulfilling compliance requirements cost- efficiently and implementing effective risk governance and operating models.

We have the breadth of experience, global resources, superior assets and deep knowledge and insights to help the C-Level suite, create new forms of value and to help our clients become high-performance financial services businesses.

Much of the work we do is at the cutting edge of innovation and thinking.

We involve our consultants in publishing and sharing this knowledge as thought leadership to benefit clients, industry and fellow colleagues.

Basic Qualifications:

- Minimum 5 years experience performing Risk/Compliance Assessments and Diagnostics within the Financial Services Industry such as in Banking, and/or Capital Markets Industries.
- Minimum 5 years of work experience in Financial Services - Regulatory/Operational Compliance Management, Credit/Market Risk, Fraud, or Financial Services Risk Management.
- Minimum 3 years experience within a Management Consulting firm in Risk Consulting. Bachelor's Degree.

Preferred Qualifications:

- Minimum 3 years proven successful track record, offering impeccable service delivery and client - business development.
- Minimum 5 years experience with Risk/Compliance Process Design and Reengineering.
- Minimum 5 years FS Risk Operating model and/or Compliance Standards at FS client
- Minimum 5 years Compliance related Regulatory Requirements, such as Basel, CRA, SEC, etc. requirements.
- Minimum 5 years experience with/of the following: SAS Fraud, Dedicca, Actimize, ACI, Norkom, Memento, Fair Isaac Falcon.
- Minimum 5 years of experience in the following areas: Fraud, Banking, Payments, Cards, Electronic Banking with a Finance background - Understanding of Fraud related Regulatory Requirements.
- MBA, or Bachelor's degree, emphasis on business, finance, or economics preferred.
- Professional Certifications Preferred: [CRCMP](#), CRMP, CBCO, CBA, Six Sigma, etc.

Choose a career at Accenture and enjoy an innovative environment where challenging and interesting work is part of daily life. With broad global resources and deep technical know-how, we collaborate with clients to cultivate ideas and deliver results.

Applicants for employment in the U.S. must possess work authorization which does not require now or in the future sponsorship by the employer for a visa. Accenture is an Equal Opportunity Employer.

Job Description 19

Title: *Corporate Risk Officer.*

Organization: *Tompkins Financial Advisors*

Location: Ithaca, NY, USA.

Responsible for directing the Corporate Risk Management (CRM) function including: Internal Audit, Compliance, BSA/AML, Information Security, and Risk Management for the company and its affiliates.

Responsible for the Enterprise Risk Management framework and Risk Management policies.

Collaborates with the Board and management to develop risk appetite for various business lines and monitor their performance relative to the established limits.

Shared responsibility for ensuring that effective operational and financial controls exist to support accurate financial reporting, and to identify and prevent fraud and other misconduct.

Through direct reports they ensure that the company's affiliates are in compliance with required regulations; that audit and compliance results are reported on a timely and systematic basis to the TFC Audit Committee, TFC BOD and affiliate boards.

Responsibilities also include managing and participating in key decision-making processes (eg strategic planning, new products and services, compensation design and operation).

Routine overnight travel is required to oversee risk-related operations throughout TFC geographic footprint – to manage staff, observe risk management infrastructure and communicate with affiliate management teams and boards.

Primary Responsibilities:

- Manage the implementation of all aspects of the risk function, including implementation of processes, tools and systems to identify, assess, measure, manage, monitor and report risks. Ensures that risk assessments and monitoring are prioritized and conducted in accordance with the organization's strategic direction, policies and regulations.
- Maintain current, working knowledge of evolving regulatory frameworks and fraud/threat environments, and develop strategies to proactively respond to an ever-changing regulatory & risk environment.
- Develop effective working relationships with business unit leaders to ensure that TFC strikes the appropriate balance between risk prevention and business strategy execution, within the bounds of TFC's board-approved risk appetite.
- As a key member of TFC's \$10 Billion Asset Threshold working group, incumbent understands the various regulatory, governance, and operational impacts associated with crossing this asset threshold, and lead several sub-projects to ensure TFC's readiness.
- Establishes quantitative analyses and models to support recommended changes in risk policies and guidelines as well as new financial intuition initiatives.
- Directs the formulation and establishment of internal verification procedures consistent with sound audit theory and practice with all company affiliates.
- Serve as a resource and advisor to Management and the Board on risk related matters including those related to supervised departments, potential litigation, customer

complaints, contract/ agreement drafting and review matters, incentive compensation risk assessment, products/ services, regulatory matters, fraud/ embezzlement, IT Security/ online account take-over, etc.

- Serves as a liaison to external auditors and regulatory agencies participating in audits and exams as required. Coordinates all key risk related reports for Management and the Board of Directors and Committees. Discusses significant or disputed findings and recommendations with Risk Management personnel and appropriate Management to facilitate resolution. Reports relevant information to the Board of Directors.
- Leads investigation activities relating to company assets as necessary; documents all such investigations.
- Leads risk-related due diligence and integration projects when potential acquisition candidates are being evaluated or integrated into TFC.
- Through direct reports:
 - o Directs the testing of **SOX financial and fraud controls** by staff and third parties throughout the company.
 - o Directs the formulation and establishment of internal verification procedures consistent with sound audit theory and practice with all company affiliates.
 - o Directs the reporting of information about the status of the company's customer accounts to customers, independent auditors, regulatory authorities, etc.
 - o Administers the established audit and compliance programs
 - o Directs the compilation of TFC Audit/Examining Committee reports.
 - o Directs the company's Pandemic Planning.

Additional Responsibilities:

- Assist in the development of and manage processes to identify and evaluate business areas' risks and risk and control self-assessments. Recommends to Senior Management and Board Members policies and practices to reduce or improve risk exposure and loss prevention programs.
- Provide oversight and leadership to the Internal Audit, Compliance, BSA/AML, Information Security, and Risk Management Departments. Directs necessary investigations, reviews and other appropriate projects.
- Directs and makes presentations to the TFC Audit/Examining Committee for all company affiliates to inform them of audit, compliance, BSA/AML, and security results.

- Maintains and continues the development of own technical skills as well as those of direct reports through internal and external resources in order to remain current with changes in internal controls, applicable law and regulations, accounting standards, principals, techniques and new technology.
- All other duties as assigned.

Required Qualifications:

- Bachelors or Master's Degree in: Business, Finance, Accounting, Criminal Justice or related field or professional degree or licensure in risk-related field (e.g., J.D.)
- Minimum of ten (10) years related senior management experience (including personnel management) that includes the integration of risk concepts into strategic planning, risk identification and mitigation activities in the banking, financial services or related industry.
- Strong analytical skills and ability to quickly & proactively address evolving regulatory frameworks and fraud/threat environments.
- Incumbent must have demonstrated ability to quickly absorb technical matters outside their own area of expertise, and respond to an ever-changing regulatory & risk environment.
- Ability to understand TFC's board-approved risk appetite and ensure the appropriate balance between risk prevention and business strategy execution.
- Adept at engaging appropriate stakeholders and peers throughout organization in risk/fraud mitigation strategies and regulatory compliance updates and implementation.
- Strong leadership, strategic thinking, excellent communication and presentation skills, attention to detail, independent judgment, risk assessment & problem solving skills, strong business & financial judgment and problem-solving skills.
- Deep understanding of risk management function, including Internal Audit, Compliance, Security and Risk Management theories, practices and policy development; proficient interpersonal relations skills; demonstrated management skills.
- Unequivocal integrity, ethics and commitment to corporate values.

Preferred Qualifications:

- Experience with regulatory examinations, including direct interaction with regulators/examiners, is strongly preferred
- Experience with physical/fraud security matters, investigations and response, including both internal fraud investigations & external fraud (payments/transfers)

fraud) is also plus.

- One or more of the following certifications are preferred: [Certified Risk and Compliance Management Professional \(CRCMP\)](#), [Certified Information Systems Risk and Compliance Professional \(CISRCP\)](#), Certified in Risk and Information Systems Control (CRISC), Certified Internal Auditor, CPA or J.D.

Job Description 20

Title: *Bank Compliance Officer.*

Organization: *First Command Financial Services, Inc.*

Location: Fort Worth, TX, USA

The Bank Compliance Officer will be principally responsible for the development and implementation of First Command Bank's (FCB's) general compliance program.

The Bank Compliance Officer will focus on defining compliance standards via policies and procedures, training, regulation evaluation, self assessments and monitoring.

It is also the duty of the Bank Compliance Officer to facilitate internal reporting, compliance-related investigations, and keep the SVP, Bank Compliance, Chief Compliance Officer, FCB President, Bank Board of Directors, Management (including Senior Leadership), and the Audit Committee informed on all relevant FCB compliance matters.

The Bank Compliance Officer helps contribute to business results by providing oversight and making recommendations that significantly impact the Bank's ability to monitor regulatory compliance programs and minimize operational risk.

Failure to comply with regulatory requirements and minimize operational risk may result in severe penalties from regulatory agencies and may damage the Bank's reputation.

What Will You Be Doing?

- Administration and continual update of the bank compliance program policy.
- Monitoring changes in compliance requirements and communicating such information to affected bank personnel.
- Monitor and maintain FCB policy and procedures regarding FRB regulations (e.g., Reg DD, Reg CC, etc.) and OCC handbook.
- Monitoring FCB loan and mortgage broker lines of business with established regulatory requirements to include Fair lending, MLA, SCRA, flood disaster protection

act, and RESPA.

- Monitoring and performing risk assessment of the bank's level of compliance on an ongoing basis.
- Coordinate and perform relevant risk assessments, internal controls reviews and compliance reviews to ensure compliance policies and internal/risk controls are operating appropriately. Identify and analyze factors which may affect risk mitigation and compliance with applicable regulatory requirements.
- Ensure policies and procedures are up to date with compliance and all regulatory requirements including day-to-day processes. Anticipate potential consequences of unaddressed risk factors or shortfalls in compliance and recommend appropriate controls.
- Ensure internal reporting mechanisms are developed, implemented, maintained and actively monitored to enable FCB employees to report suggestions or concerns regarding the FCB's compliance programs and potential compliance violations;
- Evaluate and coordinate with Quality Management to respond to Customer Complaints;
- Review of Products and Services (including newly added products and services) to ensure controls are in place and compliance requirements are being met;
- Effectively manage work and communicate with staff and bank clients to ensure compliance processes and operations meet required regulations.
- Collaborate with key leaders to respond to regulatory examination issues, correcting compliance deficiencies, and reviewing internal compliance processes and controls.
- Coordinate with the Internal Audit Department on matters relating to audits and other techniques to monitor compliance and to monitor and address identified risk areas and to ensure robust auditing and monitoring systems are in place;
- Validate those issues identified through compliance monitoring and review processes are promptly addressed. Provide guidance to less experienced Compliance team members in developing and implementing solutions.
- Report quarterly to the Bank Board of Directors.
- Participate in the Executive Compliance Committee Meetings as needed.
- Manage the Community Reinvestment Act (CRA) Program and CRA Public File.
- Complete annual compliance training onsite and offsite as required.
- Review Marketing material for adherence to regulatory compliance requirements.

- Ensure that all bank staff receive the appropriate training.
- Conduct training for all Board of Directors as needed; and
- Provide ongoing support and research to bank staff for compliance related questions.

The Bank Compliance Officer also serves as the Community Reinvestment Act Officer (CRA) and will be responsible for managing these roles within the compliance program.

Authorities

Information Access – Bank Compliance Officer has authority to access, monitor and report on sensitive Bank, customer, transaction, and employee information related to the position's duties to ensure compliance with regulatory requirements

Cross Functional Relationships

Internal:

- Corporate Audit, Legal and Risk
- Bank President and CEO
- SVP, Bank Compliance
- Chief Compliance Officer
- All Line of Business Management including Senior Leadership
- Board of Directors
- Marketing
- Technology (Business Analyst)

External:

- Regulatory Agencies
- Compliance professionals at financial institutions with a view to understanding the competitive landscape, keeping abreast of compliance developments, industry developments and new products
- Industry associations

What Skills/Qualifications Do You Need?

Education

Bachelor's degree required; Equivalent experience may be considered

Work Experience

- 6 - 8 years' banking experience required (5 Years in a compliance function)
- 1-2 years regulatory agency experience preferred
- [CRCMP](#), CIA, CPA, or CRCM preferred

Knowledge, Skills and Abilities

- Demonstrate knowledge and understanding of the required elements of a bank compliance program
- The ability to multi-task
- Public speaking and memorandum writing skills
- Organization
- Collaborate and partner with colleagues

Disclaimer

The CRCMP is a professional certificate recognized by the industry, provided by the International Association of Risk and Compliance Professionals (IARCP).

Neither the CRCMP nor any other professional certificate can guarantee a new or better job. There are many factors that matter, like the personality of the candidate, the supply and demand, etc.

This is what firms often require from experts:

- To have a university degree.
- To stay current, and to provide evidence for that, by obtaining professional certificates (the more, the better).

The IARCP is not involved in personnel recruiting.

You can find the course synopsis of the CRCMP program at:
[https://www.risk-compliance-association.com/Distance Learning and Certification.htm](https://www.risk-compliance-association.com/Distance_Learning_and_Certification.htm)

You may contact:

Lyn Spooner
Email: lyn@risk-compliance-association.com

George Lekatis, President of the IARCP
Email: lekatis@risk-compliance-association.com

